

TAXABLE YEAR

2010

California Exempt Organization Business Income Tax Return

FORM

109

Calendar Year 2010 or fiscal year beginning 07/01/10 , and ending 06/30/11 .

A First Return Filed? Yes No B Is this an education IRA within the meaning of R&TC Section 23712? Yes No

CORP #
0505207

Corporation/Organization Name **CAL POLY POMONA FOUNDATION, INC** FEIN **95-2417645**

Address
3801 WEST TEMPLE AVE. BLDG # 55

City **POMONA** State **CA** ZIP Code **91768-4038**

C Is the organization under audit by the IRS or has the IRS audited in a prior year? Yes No
 D Final Return? Dissolved Surrendered (Withdrawn) Merged/Reorganized (attach explanation)
 If a box is checked, enter date _____
 E Amended Return Yes No
 F Accounting Method Used: (1) Cash (2) Accrual (3) Other
 G Nature of trade or business **RETAIL/DINING**
 H Is the organization a non-exempt charitable trust as described in IRC Section 4947(a)(1)? Yes No
 I Is this organization claiming any Enterprise Zone (EZ), Los Angeles Revitalization Zone (LARZ), Local Agency Military Base Recovery Area (LAMBRA), Targeted Tax Area (TTA), or Manufacturing Enhancement Area (MEA) tax benefits? Yes No
 J Is this organization a qualified pension, profit-sharing, or stock bonus plan as described in IRC Section 401(a)? Yes No
 K Unrelated Business Activity (UBA) Code **721000**

Taxable Corporation	1	Unrelated business taxable income from Side 2, Part II, line 30	1	00	
	2	Multiply line 1 by the average apportionment percentage _____ % from the Schedule R, Apportionment Formula Worksheet, line 6. See instructions	2	00	
	3	Enter the lesser amount from line 1 or line 2. If line 2 is zero, enter the amount from line 1	3	00	
Taxable Trust	4	Unrelated business taxable income from Side 2, Part II, line 30	4	00	
	5	Unrelated business income from line 3 or line 4	5	00	
Tax Computation	6	Enterprise zone, LAMBRA, LARZ, TTA, or Pierce's disease losses	6	00	
	7	Net Operating Loss deduction. See General Information N	7	00	
	8	Add line 6 and line 7	8	00	
	9	Net unrelated business taxable income. Subtract line 8 from line 5	9	00	
	10	Tax 8.84 % x line 9. See General Information J	10	00	
	11a	New jobs credit, amount generated. a) _____	11b	00	
	11c	Tax credits from Schedule B. See instructions	11c	00	
	11d	Total Credits. Add line 11b and 11c	11d	00	
	Total Tax	12	Balance. Subtract line 11d from line 10. If line 11d is greater than line 10, enter -0-	12	00
		13	Alternative minimum tax. See General Information Q	13	00
14		Total tax. Add line 12 and line 13	14	00	
Payments	15	Overpayment from a prior year allowed as a credit	15	00	
	16	2010 estimated tax payments. See instructions	16	00	
	17	2010 withholding (Form 592-B and/or 593.) See instructions	17	00	
	18	Amount paid with extension (form FTB 3539)	18	00	
	19	Total payments and credits. Add line 15 through line 18	19	00	
Refund (Direct Deposit of Refund) or Amount Due	20	Tax due. Subtract line 19 from line 14. Pay entire amount with return. See instructions	20	00	
	21	Overpayment. Subtract line 14 from line 19. See instructions	21	00	
	22	Enter amount of line 21 to be applied to 2011 estimated tax	22	00	
	23	Use tax. See instructions	23	00	
	24	Refund. If the sum of line 22 and line 23 is less than line 21, then subtract the total from line 21	24	00	
	24a	Fill in the account information to have the refund directly deposited. Routing number	24a		
	24c	Type: Checking <input type="checkbox"/> Savings <input type="checkbox"/> c Account Number	24c		
	25	Penalties and interest. See General Information M	25	00	
26	<input type="checkbox"/> Check if estimate penalty computed using Exception B or C and attach form FTB 5806.				
	27	Total amount due. Add line 20, line 22, line 23, and line 25, then subtract line 21 from the result	27	00	

Unrelated Business Taxable Income

Part I Unrelated Trade or Business Income

1	a	Gross receipts or gross sales	1,502,822	b	Less returns and allowances		Balance	1c	1,502,822	00
2		Cost of goods sold and/or operations (Schedule A, line 7)						2	710,512	00
3		Gross profit. Subtract line 2 from line 1c						3	792,310	00
4	a	Capital gain net income. See Specific Line Instructions - Trusts attach Schedule D (541)						4a		00
	b	Net gain (loss) from Part II, Schedule D-1						4b		00
	c	Capital loss deduction for trusts						4c		00
5		Income (or loss) from partnerships, limited liability companies, or S corporations. See specific line instructions. Attach Schedule K-1 (565, 568, or 100S) or similar schedule						5		00
6		Rental income (Schedule C)						6	0	00
7		Unrelated debt-financed income (Schedule D)						7		00
8		Investment income of an R&TC Section 23701g, 23701i, or 23701n organization (Schedule E)						8		00
9		Interest, Annuities, Royalties and Rents from controlled organizations (Schedule F)						9		00
10		Exploited exempt activity income (Schedule G)						10		00
11		Advertising income (Schedule H, Part III, Column A)						11		00
12		Other income. Attach schedule	See Statement 1					12	1,245,527	00
13		Total unrelated trade or business income. Add line 3 through line 12						13	2,037,837	00

Part II Deductions Not Taken Elsewhere (Except for contributions, deductions must be directly connected with the unrelated business income.)

14		Compensation of officers, directors, and trustees from Schedule I						14		00
15		Salaries and wages						15	767,706	00
16		Repairs						16	91,228	00
17		Bad debts						17		00
18		Interest. Attach schedule						18		00
19		Taxes. Attach schedule						19		00
20		Contributions. See instructions and attach schedule						20		00
21	a	Depreciation (Corporations and Associations - Schedule J) (Trusts - form FTB 3885F)	21a	145,799						00
	b	Less: depreciation claimed on Schedule A. See instructions	21b					21	145,799	00
22		Depletion. Attach schedule						22		00
23	a	Contributions to deferred compensation plans						23a		00
	b	Employee benefit programs. See instructions						23b	237,586	00
24		Other deductions. Attach schedule	See Statement 2					24	819,705	00
25		Total deductions. Add line 14 through line 24						25	2,062,024	00
26		Unrelated business taxable income before allowable excess advertising costs. Subtract line 25 from line 13						26	-24,187	00
27		Excess advertising costs (Schedule H, Part III, Column B)						27		00
28		Unrelated business taxable income before specific deduction. Subtract line 27 from line 26						28	-24,187	00
29		Specific deduction. See instructions						29	1,000	00
30		Unrelated business taxable income. Subtract line 29 from line 28. If line 28 is a loss, enter line 28.						30	-24,187	00

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	<i>David F. Prenovost</i>	Title	Executive Director	Date	4/13/12	Telephone	
	Preparer's signature	David F. Prenovost, CPA	Date	04/13/12	Check if self-employed	<input type="checkbox"/>	Preparer's SSN/PTIN P00434118	
Paid Preparer's Use Only	Firm's name (or yours, if self-employed) and address	David F. Prenovost, CPA 3801 W. Temple Ave., Bldg # 55 Pomona, CA 91768					Telephone	909-869-2948
	May the FTB discuss this return with the preparer shown above? See instructions						Yes <input type="checkbox"/> No <input type="checkbox"/>	

CAL POLY POMONA FOUNDATION, INC

95-2417645

Schedule A Cost of Goods Sold and/or Operations. Method of inventory valuation (specify)

Cost Method

1	Inventory at beginning of year	1	41,813	00
2	Purchases	2	700,884	00
3	Cost of labor	3		00
4 a	Additional IRC Section 263A costs. Attach schedule	4a		00
4 b	Other costs. Attach schedule	4b		00
5	Total. Add line 1 through line 4b	5	742,697	00
6	Inventory at end of year	6	32,185	00
7	Cost of goods sold and/or operations. Subtract line 6 from line 5. Enter here and on Side 2, Part I, line 2	7	710,512	00

Do the rules of IRC Section 263A (with respect to property produced or acquired for resale) apply to this organization? Yes No

Schedule B Tax Credits. Do not complete if you must file Schedule P (100 or 541). Do not claim the New Jobs Credit on Schedule B.

1	Enter credit name	code no.	1	00
2	Enter credit name	code no.	2	00
3	Enter credit name	code no.	3	00
4	Total. Add line 1 through line 3. Enter here and on Side 1, line 11c		4	00

Schedule K Add-On Taxes or Recapture of Tax. See instructions.

1	Interest computation under the look-back method for completed long-term contracts. Attach form FTB 3834	1	00
2	Interest on tax attributable to installment: a Sales of certain timeshares or residential lots	2a	00
	b Method for non-dealer installment obligations	2b	00
3	IRC Section 197(f)(9)(B)(ii) election to recognize gain on the disposition of intangibles	3	00
4	Credit recapture. Credit name	4	00
5	Total. Combine the amounts on line 1 through line 4. See instructions	5	00

Schedule R Apportionment Formula Worksheet

Use only for unrelated trade or business amounts	(a) Total within and outside California	(b) Total within California	(c) Percent within California (b) ÷ (a)
1 Property factor: See instructions	0	0	
2 Payroll factor: Wages and other compensation of employees	0	0	
3 Sales factor: Gross sales and/or receipts less returns and allowances	0	0	
4 Multiply the factor on line 3, column (c) by 2			
5 Total percentage: Add the percentages in column (c), line 1, line 2, and line 4			
6 Average apportionment percentage: Divide the factor on line 5 by 4 and enter the result here and on Form 109, Side 1, line 2. See instructions for exceptions			

Schedule C Rental Income from Real Property and Personal Property Leased with Real Property

For rental income from debt-financed property, use Schedule D, R&TC Section 23701g, Section 23701i, and Section 23701n organizations. See instructions for exceptions.

1 Description of property		2 Rent received or accrued	3 Percentage of rent attr. to personal property
N/A			%
			%
			%
4 Complete if any item in column 3 is more than 50%, or for any item if the rent is determined on the basis of profit or income		5 Complete if any item in column 3 is more than 10%, but not more than 50%	
(a) Deductions directly connected (attach schedule)	(b) Income includible, column 2 less column 4(a)	(a) Gross income reportable, column 2 x column 3	(b) Deductions dir. connected with personal property (att. sch.)
			(c) Net income includible, column 5(a) less column 5(b)
Add columns 4(b) and column 5(c). Enter here and on Side 2, Part I, line 6		0	

Schedule D Unrelated Debt-Financed Income

1 Description of debt-financed property	2 Gross income from or allocable to debt-financed property	3 Deductions directly connected with or allocable to debt-financed property		9 Net income (or loss) includible, column 7 less column 8
		(a) Straight-line depreciation (attach sch.)	(b) Other deductions (attach sch.)	
N/A				
4 Amount of average acquisition indebtedness on or allocable to debt-financed property (attach schedule)	5 Average adjusted basis of or allocable to debt-financed property (attach schedule)	6 Debt basis percentage, column 4 ÷ column 5	7 Gross income reportable, column 2 x column 6	8 Allocable deductions, total of columns 3(a) and 3(b) x column 6
		%		
		%		
		%		
Total. Enter here and on Side 2, Part I, line 7				

Schedule E Investment Income of an R&TC Section 23701g, Section 23701i, or Section 23701n Organization

1 Description	2 Amount	3 Deductions directly connected (attach schedule)	4 Net investment income, column 2 less column 3	5 Set-asides (attach schedule)	6 Balance of investment income, column 4 less column 5
N/A					
Total. Enter here and on Side 2, Part I, line 8					

Enter gross income from members (dues, fees, charges, or similar amounts)

Schedule F Interest, Annuities, Royalties and Rents from Controlled Organizations

Exempt Controlled Organizations					
1 Name of controlled organization	2 Employer Identification Number	3 Net unrelated income (loss)	4 Total of specified payments made	5 Part of column (4) that is included in the controlling organization's gross income	6 Deductions directly connected with income in column (5)
N/A					
Nonexempt Controlled Organizations					
7 Taxable Income	8 Net unrelated income (loss)	9 Total of specified payments made	10 Part of column (9) that is included in the controlling organization's gross income	11 Deductions directly connected with income in column (10)	
1.					
2.					
3.					
4. Add columns 5 and 10					
5. Add columns 6 and 11					
6. Subtract line 5 from line 4. Enter here and on Side 2, Part 1, line 9					

Schedule G Exploited Exempt Activity Income, other than Advertising Income

1 Description of exploited activity (attach schedule if more than one unrelated activity is exploiting the same exempt activity)							
2 Gross unrelated business income from trade or business	3 Expenses directly connected with production of unrelated business income	4 Net income from unrelated trade or business, column 2 less column 3	5 Gross income from activity that is not unrelated business income	6 Expenses attributable to column 5	7 Excess exempt expense, column 6 less column 5 but not more than column 4	8 Net income includible, column 4 less column 7 but not less than zero	
N/A							
Total. Enter here and on Side 2, Part I, line 10							

Schedule H Advertising Income and Excess Advertising Costs

Part I Income from Periodicals Reported on a Consolidated Basis

1 Name of periodical	2 Gross advertising income	3 Direct advertising costs	4 Advertising income or excess advertising costs. If column 2 is greater than column 3, complete columns 5, 6, and 7. If column 3 is greater than column 2, enter the excess in Part III, column B(b). Do not complete columns 5, 6, and 7.	5 Circulation income	6 Readership costs	7 If column 5 is greater than column 6, enter the income shown in column 4, in Part III, column A(b). If column 6 is greater than column 5, subtract the sum of column 6 and column 3 from the sum of column 5 and column 2. Enter amount in Part III, column A(b). If the amount is less than zero, enter -0-.
N/A						
Totals						

Part II Income from Periodicals Reported on a Separate Basis

N/A						

Part III Column A - Net Advertising Income

(a) Enter "consolidated periodical" and/or names of non-consolidated periodicals	(b) Enter total amount from Part I, column 4 or 7, and amounts listed in Part II, cols. 4 and 7
N/A	

Part III Column B - Excess Advertising Costs

(a) Enter "consolidated periodical" and/or names of non-consolidated periodicals	(b) Enter total amount from Part I, col. 4, and amounts listed in Part II, column 4
N/A	

Enter total here and on Side 2, Part I, line 11

Enter total here and on Side 2, Part II, line 27

Schedule I Compensation of Officers, Directors, and Trustees

1 Name of Officer	2 SSN or ITIN	3 Title	4 Percent of time devoted to business	5 Compensation attributable to unrelated business	6 Expense account allowances
N/A			%		
			%		
			%		
			%		
			%		

Total. Enter here and on Side 2, Part II, line 14

Schedule J Depreciation (Corporations and Associations only. Trusts use form FTB 3885F.)

1 Group and guideline class or description of property	2 Date acquired	3 Cost or other basis	4 Depreciation allowed or allowable in prior years	5 Method of computing depreciation	6 Life or rate	7 Depreciation for this year
1 Total additional first-year depreciation (do not include in items below)						0
2 Other depreciation:						
Buildings		See Statement 3				145,799
Furniture and fixtures						
Transportation equipment						
Machinery and other equipment						
Other (specify)						
3 Other depreciation						
4 Total						145,799
5 Amount of depreciation claimed elsewhere on return						0
6 Balance. Subtract line 5 from line 4. Enter here and on Side 2, Part II, line 21a						145,799

California Statements**Statement 1 - Form 109, Part I, Line 12 - Other Income**

<u>Description</u>	<u>Amount</u>
UBIT - KELLOGG HOUSE	\$ 3,912
UBIT - CONFER CENTER & LODGE	1,241,615
Total	<u>\$ 1,245,527</u>

Statement 2 - Form 109, Part II, Line 24 - Other Deductions

<u>Description</u>	<u>Amount</u>
RENT	\$ 29,384
ADVERTISING	20,760
UTILITIES	115,543
SUPPLIES	123,604
SERVICES	154,835
INSURANCE	39,726
TRAVEL	1,054
TELEPHONE	35,887
GENERAL AND ADMINSTRATIVE	158,614
BANK CARD FEE	36,330
POSTAGE	3,145
OTHERS	98,418
AGRICULTURE	725
MEALS AND REFRESHMENTS	1,680
Total	<u>\$ 819,705</u>

California Statements

Statement 3 - Form 109, Schedule J - Depreciation Detail Information

Description	Date Acquired	Cost / Basis	Accum Depr	Method	Life / Rate	Current Depr	Add'l 1st Year
DEPRECIATION		\$	\$			\$ 145,799	\$
Total		\$ 0	\$ 0			\$ 145,799	\$ 0

California Statements

Statement 1 - Form 199, Part II, Line 6 - Gross Amount Received from Sale of Assets

Description		How Received	Whom Sold	Date Acquired	Date Sold	Gross Proceeds	Cost & Expense	Depr	Net Basis
DONATED SECURITIES	Donation			7/01/10	6/30/11	\$ 669,548	\$ 676,644	\$	676,644
INVESTMENT SECURITIES	Purchase			7/01/10	6/30/11	9,411,016	9,310,719		9,310,719
RESIDENTIAL REAL ESTATE	Purchase			7/23/10	9/01/10	285,000	315,000		315,000
RESIDENTIAL REAL ESTATE	Purchase			5/07/10	11/03/10	265,000	280,000		280,000
RESIDENTIAL REAL ESTATE	Purchase			5/22/09	12/23/10	230,000	220,000		220,000
RESIDENTIAL REAL ESTATE	Purchase			9/21/10	1/13/11	265,000	276,898		276,898
Total						<u>\$ 11125564</u>	<u>\$ 11079261</u>	<u>\$ 0</u>	<u>\$ 11079261</u>

California Statements**Statement 2 - Form 199, Part II, Line 7 - Other Income**

<u>Description</u>	<u>Amount</u>
TASTING AND AUCTION	\$ 71,609
HARVEST AUCTION	53,223
ATHLETICS DEPT RAFFLE	14,644
ADMIN FEES AND OTHER INCOME	836,145
UNREALIZED INVEST GAIN/LOSS	<u>142,248</u>
Total	<u>\$ 1,117,869</u>

California Statements

Statement 3 - Form 199, Part II, Line 9 - Contributions, Gifts, Grants, and Similar Amounts

Activity No.	Class	Name	Address	Property Information	Amount
EQUIPMENT POMONA	CA	CAL POLY POMONA UNIVERSITY 91768	3801 W. TEMPLE AVE. 650,811		1,223,165
					1,118,450
					<u>320,682</u>
					\$ <u>3,313,108</u>
					\$ <u><u>3,313,108</u></u>

Subtotal

Total

Statement 4 - Form 199, Part II, Line 11 - Officer Compensation

Name	Address	City	State	Zip	Title	Avg Hrs	Compensation Amount
BECKY PEPPING					EX-OFFICIO	0.50	
JONATHAN JIANU					STUDENT DIR	0.50	
CASSANDRA REYES					STAFF COUNCI	0.50	
EDWARD MERITT					FACULTY MEMBER	0.50	
DOUGLAS FREER					EX-OFFICIO	0.50	
ANDREW FEINSTEIN					DEAN MEMEBR	0.50	

California Statements

Statement 4 - Form 199, Part II, Line 11 - Officer Compensation (continued)

Name	City	State	Zip	Address	Title	Avg Hrs	Compensation Amount
EDWIN BARNES III					EX-OFFICIO	0.50	
G. PAUL STOREY					EXEC DIR	40.00	217,355
J. MICHAEL ORTIZ					CHAIRMAN	0.50	
LOWELL OVERTON					COMUNITY DIR	0.50	
WINNY DONG					FACULTY MEMBER	0.50	
MARTIN DENBOER					EX-OFFICIO	0.50	
LISA ALEX					EX-OFFICIO	0.50	
MEI LEIN CHANG					MEMBER@LARGE	0.50	
OLIVER SANTOS					COMUNITY DIR	0.50	
SCOTT WARRINGTON					EX-OFFICIO	0.50	
SUSIE DIAZ					STAFF COUNCIL	0.50	
ISMAEL SOULEY					EX-OFFICIO	0.50	
STEPHANIE DODA					EX-OFFICIO	0.50	
CHRIS CHEN					STUDENT DIR	0.50	
WILLIAM PRIEST					COMUNITY DIR	0.50	
Total							<u>217,355</u>

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California Statements

FYE: 6/30/2011

Statement 5 - Form 199, Part II, Line 17 - Other Expenses

Description	Amount
	\$
TASTING AND AUCTION	
VARIOUS	75,563
CATERING	3,203
ENTERTAINMENT	1,475
HARVEST AUCTION	
VARIOUS	43,622
ENTERTAINMENT	1,500
ATHLETICS DEPT RAFFLE	
VARIOUS EXPENSES	836
BUILDINGS	
Insurance	31,719
Advertising	3,493
Supplies	20,868
Travel	1,328
Repairs	54,669
Utilities	201,196
BANK FEE	3
POSTAGE	237
SALARY & WAGES	112,785
EMPLOYEE BENEFITS	53,302
TELEPHONE	15,397
SERVICES	250,795
MEALS REFRESHMENT	51
OTHER	87,735
DEPRECIATION	691,591
OTHER EMPLOYEE BENEFITS	778,285
OTHER EMPLOYEE BENEFITS	448,002
OTHER EMPLOYEE BENEFITS	71,055
OTHER EMPLOYEE BENEFITS	386,178
PAYROLL TAXES	414,275
PAYROLL TAXES	466,868
PAYROLL TAXES	76,587
PAYROLL TAXES	240,163
Consulting and Other Service	1,395,312
CONSULTING AND OTHER SERVICES	1,659,475
CONSULTING AND OTHER SERVICES	888,958
CONSULTING AND OTHER SERVICES	265,782
ACCOUNTING	150,043
ACCOUNTING	1,125
ACCOUNTING	3,300
LEGAL	21,827
LEGAL	76,618
LEGAL	
INVESTMENT MANAGEMENT	10,570
INVESTMENT MANAGEMENT	22,507
TRAVEL	123,292
TRAVEL	439,579
TRAVEL	410,149
TRAVEL	14,467
Conferences/Meetings	72,861

California Statements

Statement 5 - Form 199, Part II, Line 17 - Other Expenses (continued)

Description	Amount
Conferences/Meetings	\$ 78,785
Conferences/Meetings	2,353
Repairs and Maintenance	520,104
Repairs and Maintenance	48,980
Repairs and Maintenance	100,482
Utilities	851,808
Utilities	11,247
Utilities	348,176
Feed	72,881
Feed	205,553
Agriculture	319,545
Agriculture	16,175
Miscellaneous	1,181,245
Miscellaneous	346,635
Miscellaneous	338,613
Miscellaneous	-118,680
General Administrative	395,889
Meals & Entertainment	9,686
Meals & Entertainment	256,413
Meals & Entertainment	558,464
Meals & Entertainment	155,163
Laundry	13,402
Equipment Purchase	13,544
Equipment Purchase	27,751
Equipment Purchase	541,823
Equipment Purchase	62,130
Bad Debts	192
Bad Debts	50,309
Bad Debts	1,039
Taxes	23,031
Taxes	98
Taxes	13,068
Indirect Costs Returned	657,354
RE Closing Costs	32,618
Transfer in/out	145,331
RE Write-down	157,749
PENSION PLAN CONTRIBUTIONS	527,469
PENSION PLAN CONTRIBUTIONS	595,248
PENSION PLAN CONTRIBUTIONS	97,647
PENSION PLAN CONTRIBUTIONS	306,203
ADVERTISING AND PROMOTION	153,532
ADVERTISING AND PROMOTION	64,097
ADVERTISING AND PROMOTION	12,223
OFFICE	1,991,917
OFFICE	1,194,717
OFFICE	940,950
OFFICE	190,605
INFORMATION TECHNOLOGY	252,159
INFORMATION TECHNOLOGY	106,018
INFORMATION TECHNOLOGY	17,105
ROYALTIES	295,459
Insurance	301,045
Insurance	2,327
Insurance	11,496

California Statements**Statement 5 - Form 199, Part II, Line 17 - Other Expenses (continued)**

Description	Amount
Insurance	\$ 38,230
Total	<u>\$24,626,049</u>

Statement 6 - Form 199, Schedule L, Line 5 - Federal and State Government

Description	Beginning of Year	End of Year
TREASURY NOTES	\$11,587,062	\$15,137,315
AGENCY SECURITIES	7,086,494	5,048,318
DEBT SERVICE RESERVES	237,397	237,396
Total	<u>\$18,910,953</u>	<u>\$20,423,029</u>

Statement 7 - Form 199, Schedule L, Line 7 - Investments in Stock

Description	Beginning of Year	End of Year
EQUITY SECURITIES	\$ 1,093,610	\$ 4,337,267
Total	<u>\$ 1,093,610</u>	<u>\$ 4,337,267</u>

Statement 8 - Form 199, Schedule L, Line 9 - Other Investments

Description	Beginning of Year	End of Year
EQUITY INVESTMENT	\$ 233,286	\$ 421,953
Total	<u>\$ 233,286</u>	<u>\$ 421,953</u>

Statement 9 - Form 199, Schedule L, Line 12 - Other Assets

Description	Beginning of Year	End of Year
ASSETS HELD FOR SALE	\$ 1,701,877	\$ 1,791,980
Prepaid Expenses	294,348	335,257
INTEREST CAPITALIZED		978,075
COST OF ISSUANCE		286,150
Total	<u>\$ 1,996,225</u>	<u>\$ 3,391,462</u>

California Statements**Statement 10 - Form 199, Schedule L, Line 18 - Other Liabilities**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>
DEPOSITS HELD IN CUSTODY FOR OTHERS	\$ 455,797	\$ 574,254
LEASE OBLIGATIONS	31,107,960	15,274
UNITRUST LIABILITY	678,592	695,994
CAL POLY POMONA FOUNDATION	365,000	185,000
CAL POLY POMONA FOUNDATION		3,505,553
CAL POLY POMONA FOUNDATION		26,996,294
Deferred Revenue	953,340	1,196,199
Total	<u>\$33,560,689</u>	<u>\$33,168,568</u>

Statement 11 - Form 199, Schedule M-1, Line 4 - Income Not Recorded on Books

<u>Description</u>	<u>Amount</u>
Investment expenses	\$ 22,507
Total	<u>\$ 22,507</u>

Statement 12 - Form 199, Schedule M-1, Line 8 - Deductions Not Charged Against Book Income

<u>Description</u>	<u>Amount</u>
Investment expenses	\$ 22,507
Total	<u>\$ 22,507</u>